# On Controllability of Waves and Geometric Carleman Estimates

#### Arick Shao

Queen Mary University of London

Webinar on PDEs and Related Areas 3 December, 2020

### Section 1

Background



### Wave Equations

#### Main operators of interest:

• Wave operator (in 1 + n dimensions):

$$\Box := -\partial_t^2 + \Delta_x.$$

With lower-order terms:

$$\mathcal{L} := \Box + \nabla_X + V$$
,  $V(t, x) \in \mathbb{R}$ ,  $X(t, x) \in \mathbb{R}^{1+n}$ .

- Q. Why study wave equations?
  - Prototypical example of hyperbolic PDEs.
  - Many fundamental equations of physics contain wave behaviour.
    - Euler (fluids), Maxwell (electromagnetism), Einstein (gravity).



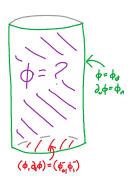
### Solving Wave Equations

#### Wave equations on bounded domains:

- Spatial domain:  $\Omega \subseteq \mathbb{R}^n$  (open, smooth boundary).
- Initial/final times:  $T_- < T_+$ .
- Wave equation:  $\mathcal{L}\phi = F$  on  $[T_-, T_+] \times \Omega$ .

#### **Well-posedness.** Unique solution $\phi$ , given:

- Initial data:  $(\phi, \partial_t \phi)|_{t=T_-}$ .
- Boundary data:  $\phi|_{(T_-,T_+)\times\partial\Omega}$  or  $\partial_{\nu}\phi|_{(T_-,T_+)\times\partial\Omega}$ .



### A More Proactive Approach

#### Question (Control)

Can we control what happens to  $\phi$ ?

- Given initial state  $(\phi, \partial_t \phi)|_{t=T_-} = (\phi_0^-, \phi_1^-)...$
- ...achieve final state  $(\phi, \partial_t \phi)|_{t=T_+} = (\phi_0^+, \phi_1^+)$ .

#### In real-world situations:

- We do not have unlimited powers.
- Can only directly affect part of system (the control).



### Interior and Boundary Control

Boundary control: Steer  $\phi$  through boundary data.

$$\mathcal{L}\phi = 0$$
,  $\Gamma \subset (T_-, T_+) \times \partial\Omega$ .

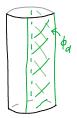
- Dirichlet:  $\phi|_{(T_-,T_+)\times\partial\Omega}=\chi_\Gamma\phi_d$ .
- Neumann:  $\partial_{\nu} \phi|_{(T_{-},T_{+}) \times \partial \Omega} = \chi_{\Gamma} \phi_{n}$ .



**Interior control**: Steer φ through forcing term.

$$\mathcal{L}\Phi = \chi_{\omega}G$$
,  $\omega \subset (T_-, T_+) \times \Omega$ .

Here, focus on Dirichlet boundary control.



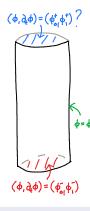
### **Exact Controllability**

### Problem (Exact Controllability)

**Given:** Control region  $\Gamma \subseteq (T_-, T_+) \times \partial \Omega$ 

**Goal:**  $\forall$  *initial/final data*  $(\varphi_0^{\pm}, \varphi_1^{\pm}) \in L^2(\Omega) \times H^{-1}(\Omega)$ :

- Find  $\phi_d \in L^2(\Gamma)$ , such that solution of...
  - ... wave equation:  $\mathcal{L}\phi|_{[T_-,T_+]\times\Omega}=0...$
  - ... initial data:  $(\phi, \partial_t \phi)|_{t=T_-} = (\phi_0^-, \phi_1^-)...$
  - ... boundary data:  $\phi|_{(T_-,T_+)\times \partial\Omega}=\phi_d$ ...
- ... satisfies  $(\phi, \partial_t \phi)|_{t=T_+} = (\phi_0^+, \phi_1^+)$ .



Q. Can solutions be controlled via Dirichlet boundary data?

◆ロト ◆御 ト ◆ 恵 ト ◆ 恵 ・ 夕 ○ ○

7/39

### **Basic Observations**

**Observation.** Can take  $(\varphi_0^+, \varphi_1^+) = 0$  or  $(\varphi_0^-, \varphi_1^-) = 0$ .

- Null controllability.
- (From time-reversibility of wave equation.)

From now on, assume  $(\varphi_0^-, \varphi_1^-) = 0$ .

#### Observation. Finite speed of propagation.

- Information from  $\partial\Omega$  needs time to travel to all of  $\Omega$ .
- Fundamental lower bound for  $T_+ T_-$ .

## The Adjoint Problem

### (P1) Consider linear operator

$$S: L^2(\Gamma) \to L^2(\Omega) \times \mathcal{H}^{-1}(\Omega),$$
  
 $S(\phi_d) = (\phi, \partial_t \phi)|_{t=T_+}.$ 

• Goal: Show S has full range.

### **(P2)** Adjoint of *S*:

$$S^*: L^2(\Omega) \times \mathcal{H}^1_0(\Omega) \to L^2(\Gamma),$$
 
$$S^*(\psi_1^+, \psi_0^+) = \partial_{\nu} \psi|_{\Gamma}.$$

$$\begin{split} \mathcal{L}\varphi|_{(\mathcal{T}_{-},\mathcal{T}_{+})\times\Omega} &= 0,\\ (\varphi,\partial_{t}\varphi)|_{t=\mathcal{T}_{-}} &= (0,0),\\ \varphi|_{(\mathcal{T}_{-},\mathcal{T}_{+})\times\partial\Omega} &= \varphi_{d}. \end{split}$$

$$\begin{split} \mathcal{L}^*\psi|_{(\mathcal{T}_-,\mathcal{T}_+)\times\Omega} &= 0,\\ (\psi, \vartheta_t\psi)|_{t=\mathcal{T}_+} &= (\psi_0^+, \psi_1^+),\\ \psi|_{(\mathcal{T}_-,\mathcal{T}_+)\times\vartheta\Omega} &= 0. \end{split}$$

### Observability and Controllability

#### By duality and closed range theorem:

- Controllable  $\Leftrightarrow S$  surjective  $\Leftrightarrow \|\xi\| \lesssim \|S^*\xi\|$ .
- Last statement known as observability inequality:

$$\|(\psi, \partial_t \psi)(T_+)\|_{H^1 \times L^2} \lesssim \|\partial_\nu \psi\|_{L^2(\Gamma)}, \qquad \psi|_{(T_-, T_+) \times \partial\Omega} = 0.$$

#### Main goal. Prove observability inequality.

### (J.-L. Lions) Hilbert uniqueness method (HUM)

- Modern machinery for observability ⇒ controllability.
- Methods for generating control  $\phi_d$  (e.g. as minimizer of functional).
- Can find  $\phi_d$  minimizing  $L^2(\Gamma)$ -norm.



### Methods for Observability I

#### I. Fourier series methods

- Applies to one spatial dimension:  $(-\partial_t^2 + \partial_x^2 + \alpha)\psi = 0$ .
- Apply variants of Ingham's inequality on Fourier side.

### Theorem (Ingham)

Consider sequence  $(\lambda_n)_{n\in\mathbb{Z}}$  of real numbers, with

$$\lambda_{n+1} - \lambda_n \ge \gamma > 0, \qquad n \in \mathbb{Z}.$$

If  $T > \frac{\pi}{\nu}$ , then for any sequence  $(c_n)_{n \in \mathbb{Z}}$ , we have

$$\sum_{n} |c_{n}|^{2} \lesssim_{T,\gamma} \int_{-T}^{T} \left| \sum_{n} c_{n} e^{i\lambda_{n}t} \right|^{2} dt.$$



Arick Shao (QMUL)

### Methods of Observability II

#### II. Multiplier methods

- Applies to all dimensions:  $\Box \psi = 0$ .
- Idea. Integrate by parts the RHS of

$$0 = \int_{[T_-, T_+] \times \Omega} \Box \psi \, \mathcal{S}_* \psi.$$

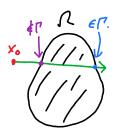
### Theorem (Ho, Lions, ...)

Fix  $x_0 \in \mathbb{R}^n$ , and assume

$$T_+ - T_- > 2 \sup_{x \in \partial \Omega} |x - x_0|.$$

Then, for  $\mathcal{L} := \square$ , observability holds with:

$$\Gamma := (T_-, T_+) \times \{x \in \partial \Omega \mid (x - x_0) \cdot \gamma > 0\}.$$



### Methods for Observability III

#### III. Carleman estimates

- Most robust technique.
- Extends multiplier methods to general  $\mathcal{L}$ .

Weighted (spacetime) integral estimates, with free real parameter.

$$\|e^{\lambda F}\nabla_{t,x}\psi\|_{L^2}^2+\|e^{\lambda F}\psi\|_{L^2}^2\lesssim \lambda^{-2}\|e^{\lambda F}\Box\psi\|_{L^2}^2+\dots, \qquad \lambda\gg 1.$$

• Take  $\lambda$  large  $\Rightarrow$  absorb lower-order terms.

### Theorem (Lasiecka-Triggiani-Zhang, Zhang, ...)

Previous theorem holds for general L.

• But, for technical reasons, also requires  $x_0 \notin \bar{\Omega}$ .

### Methods for Observability IV

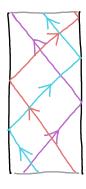
#### IV. Microlocal methods

- Most precise, optimal results (w.r.t. control region).
- Must also assume X, V analytic in t.

### Theorem (Bardos-Lebeau-Rauch, Burq, ...)

Observability on  $\Gamma \Leftrightarrow geometric\ control\ condition$ .

- Each bicharacteristic (null geodesic) in  $[T_-, T_+] \times \Omega$  hits  $\Gamma$ .
- Bicharacteristics reflect off boundary via geometric optics.
- (Le Rousseau-Lebeau-Terpolilli-Trélat) Time-dependent Γ.



### Section 2

### Moving Boundaries



### Time-Dependent Domains

#### Question (Main Question)

What about time-dependent domains?

$$\mathcal{U} = \bigcup_{T_- < \tau < T_+} (\{\tau\} \times \Omega_\tau).$$

• *U* has moving boundary:

$$\mathcal{U}_b = \bigcup_{T_- < \tau < T_+} (\{\tau\} \times \delta \Omega_\tau).$$

• Can we still prove control results?

#### Assume boundary $\mathcal{U}_b$ is timelike:

- $\mathcal{U}_b$  "moves at less than wave/characteristic speed".
- $\mathcal{U}_b$  appropriate for boundary data.



### Controllability Revisited

#### Problem (Exact Dirichlet boundary controllability)

**Given:** Control region  $\mathcal{Y} \subseteq \mathcal{U}_h$ .

**Goal:**  $\forall$  *initial/final data*  $(\phi_0^{\pm}, \phi_1^{\pm}) \in L^2 \times H^{-1}$ :

- Find  $\phi_d \in L^2(\mathcal{Y})$  that takes solution  $\phi_{...}$
- ... from initial state  $(\phi, \partial_t \phi)|_{t=T_-} = (\phi_0^-, \phi_1^-)...$
- ... to final state  $(\phi, \partial_t \phi)|_{t=T_+} = (\phi_0^+, \phi_1^+)$ .



HUM. Exact controllability  $\Rightarrow$  observability for adjoint system:

$$\|(\psi, \mathfrak{d}_t \psi)(T_+)\|_{H^1 \times L^2} \lesssim \|\mathfrak{d}_{\nu} \psi\|_{L^2(\mathcal{V})}, \qquad \psi|_{\mathcal{U}_b} = 0.$$

### Some Existing Results

#### Existing literature is sparse.

Cannot use microlocal methods.

### General n: (Only for $\mathcal{L} = \square$ ).

- ullet (Bardos-Chen)  ${\cal U}$  expanding.
- ullet (Miranda)  ${\cal U}$  self-similar, asymptotically cylindrical.

n=1: Recent works (only for  $\mathcal{L}=\square$ ).

- $\mathcal{U}_b = \text{two lines (optimal)}$ , line + curve.
- (Cui–Jiang–Wang, Sun–Li–Lu, Wang–He–Li, ...)

Missing: General results in any dimension.



### The Main Estimate (Rough Statement)

### Theorem (S., 2019)

Consider general  $\mathcal{L}$  on moving domain  $\mathcal{U}$ . Fix  $x_0 \in \mathbb{R}^n$ , and assume

$$T_+ - T_- > R_+ + R_-$$
,  $R_\pm := \sup_{x \in \mathcal{U}_b \cap \{t = T_\pm\}} |x - x_0|$ .

Then, we have observability (for adjoint equation):

$$\|(\psi, \mathfrak{d}_t \psi)(T_{\pm})\|_{H^1 \times L^2}^2 \lesssim \int_{\mathcal{Y}} |\mathfrak{d}_{\nu} \psi|^2.$$

The observation region  $\mathcal{Y}$  satisfies:

• *y* is a "much smaller", time-dependent set.

#### Corollary

Exact controllability (for original equation), with control region  $\mathcal{Y}$ .

### Some Remarks

#### First result for general timelike $\mathcal{U}_b$ and general $\mathcal{L}$ .

- ullet Improves existing Carleman/multiplier results for static  ${\cal U}.$ 
  - $\mathcal{Y}$  "smaller than"  $\{(x-x_0)\cdot \nu > 0\}$ .
- $x_0$  can be outside or inside of domain.
- (Achieves GCC only when n = 1.)

#### Similar results for interior controllability:

- (Vaibhav Jena, 2020) Static domains, more regular controls.
- (Vaibhav Jena, in preparation) Time-dependent domains, less regular controls.

4□ > 4□ > 4 = > 4 = > = 9 < ○</p>

### Plan for the Proof

- **I.** Time-dependent domains.
  - Consider model problem  $\mathcal{L} = \square$ .
  - Multiplier methods.
- **II.** General operators  $\mathcal{L}$ .
  - Proof of Carleman estimates.
- III. Carleman  $\Rightarrow$  observability.
  - Exterior/interior observability.

### Classical Multiplier Result

First, recall special case of free waves  $(\mathcal{L} := \square)$ .

• Main step: Integrate by parts, starting with

$$0 = \int_{[T_-,T_+]\times\Omega} \Box \psi \, \mathcal{S}^0_* \psi, \qquad \mathcal{S}^0_* \psi := (x-x_0) \cdot \nabla_x \psi + \frac{n-1}{2} \psi.$$

Combine with energy conservation ⇒

$$(T_+ - T_-)\mathcal{E}(T_\pm) \leq 2R \cdot \mathcal{E}(T_\pm) + \frac{1}{2} \int_{(T_-, T_+) \times \partial\Omega} [(\mathbf{x} - \mathbf{x}_0) \cdot \mathbf{v}] |\partial_{\mathbf{v}} \psi|^2.$$

- $\mathcal{E}(t) = \frac{1}{2} \int_{\{t\} \times \Omega} |\nabla_{t,x} \psi|^2$ .
- $R = \sup_{x \in \partial \Omega} |x x_0|$ .

• Green 
$$\Rightarrow T_+ - T_- > 2R$$
.

• Orange 
$$\Rightarrow \Gamma := \{(x - x_0) \cdot v > 0\}.$$

### Adaptation to Time-Dependent Setting

- **0.** Main issue in time-dependent domains:
  - Conservation of energy no longer holds.
  - Integrations by parts, energy estimates ⇒ additional boundary terms.
- 1. View in terms of spacetime (Minkowski) geometry.
  - Minkowski spacetime:

$$(\mathbb{R}^{1+n}, g), \qquad g := -dt^2 + dx_1^2 + \dots dx_n^2.$$

• Replace reference point  $x_0$  by reference event  $(t_0, x_0)$ .

◆ロト ◆個ト ◆差ト ◆差ト を めなべ

### The Lorentzian Viewpoint

**2.** Replace classical multiplier  $\mathcal{S}^0_*\psi$  by

$$\mathcal{S}_*\psi := \left[ (x - x_0) \cdot \nabla_x \psi + (t - t_0) \, \partial_t \psi \right] + \frac{n - 1}{2} \psi.$$

- $\partial_t$ -part: corresponds to energy conservation arguments.
- 3. Apply Lorentzian version of integration by parts:
  - $\mathcal{N}$ : outward-pointing Minkowksi unit normal to  $\mathcal{U}_b$ .
  - (Euclidean normal, with t-component reversed.)

### The Multiplier Result

### Theorem (S., 2019)

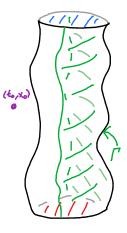
Consider  $\mathcal{L} := \square$  on moving domain  $\mathcal{U}$ . Assume

$$T_+ - T_- > R_+ + R_-$$
,  $R_\pm := \sup_{x \in \mathcal{U}_b \cap \{t = T_\pm\}} |x - x_0|$ .

Then, we have observability (for adjoint problem):

$$\|(\psi, \vartheta_t \psi)(\mathit{T}_\pm)\|_{\mathit{H}^1 \times \mathit{L}^2}^2 \lesssim \int_{\mathcal{V}} |\mathcal{N} \psi|^2.$$

- N: outward-pointing Minkowski unit normal on Ub.
- $f_0 := \frac{1}{4}[|x-x_0|^2-(t-t_0)^2]$ , where...
- ... $t_0$  satisfies  $t_0 T_- > R_-$  and  $T_+ t_0 > R_+$ .



Arick Shao (QMUL)

### Some Remarks

#### Proof is $\approx 2$ pages.

n = 1: Recovers all existing results, and in full generality.

• Recovers optimal  $T_+ - T_-$  (from GCC) for all  $\mathcal{U}$ .

General n: Handles general domains  $\mathcal{U}$ .

• Requires much smaller  $T_+ - T_-$  than before (optimal in many cases).

$$\{\mathcal{N}f_0 > 0\}$$
 generalises  $\{(x - x_0) \cdot \nu > 0\}$ :

${\cal U}$ time-independent	$\mathcal{N} f_0 > 0 \Leftrightarrow (x - x_0) \cdot \nu > 0$
$\mathcal{U}$ "expanding" from $t_0$	Need smaller ${\mathcal Y}$
${\cal U}$ "contracting" from $t_0$	Need larger ${\mathcal Y}$

4 L P 4 B P 4 E P 4 E P 9 C \*) V (\*)

### Return to Carleman Estimates

**Q.** What about general  $\mathcal{L}$ ?

**Goal.** Establish global Carleman estimate:

$$\| e^{\lambda F}(\nabla_{t,x}\psi,\psi) \|_{L^2}^2 \lesssim \lambda^{-2} \| e^{\lambda F} \square \psi \|_{L^2}^2 + \| e^{\lambda F} \mathcal{N} \psi \|_{L^2(\mathcal{Y})}^2.$$

Integrate by parts the expression

$$\int (e^{\lambda F} \Box \psi) \mathcal{S}_*(e^{\lambda F} \psi)$$

• Multiplier estimate for  $e^{\lambda F} \Box e^{-\lambda F}$  and  $\psi^{\star} := e^{\lambda F} \psi$ .

### The Carleman Weight

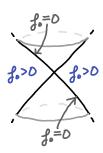
The weight  $e^{\lambda F}$  is constructed from  $f_0$ : († Not quite true)

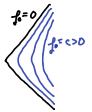
• 
$$f_0 = \frac{1}{4}[|x-x_0|^2 - (t-t_0)^2].$$

 $\bullet \ e^{\lambda F} := f_0^{\lambda} \ e^{2\lambda b f_0^{1/2}}.$ 

Level sets of  $f_0$  are hyperboloids:

- $f_0 = 0$ : null cone about  $(t_0, x_0)$ .
- $f_0 = c > 0$ : one-sheeted hyperboloids.
- $\mathcal{D}_0 := \{f_0 > 0\}$ : null cone exterior.





### A Novel Feature

**New.**  $e^{\lambda F}$  vanishes at  $f_0 = 0$ .

- No boundary terms at  $f_0 = 0$ .
- Estimate supported on  $\mathcal{D}_0 = \{f_0 > 0\}$ .

This (roughly) yields the Carleman estimate:

$$\|e^{\lambda F}(\nabla_{t,x}\psi,\psi)\|_{L^2(\mathcal{U}\cap\mathcal{D}_0)}^2\lesssim \lambda^{-2}\|e^{\lambda F}\Box\psi\|_{L^2(\mathcal{U}\cap\mathcal{D}_0)}^2+\int_{\mathcal{U}_h\cap\mathcal{D}_0}e^{2\lambda F}(\mathcal{N}\mathit{f}_0)|\mathcal{N}\psi|^2.$$

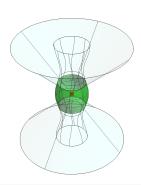
Energy inequalities ⇒ observability, with

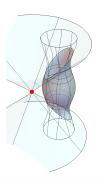
$$\mathcal{Y} := \mathcal{U}_b \cap \{ \mathcal{N} f_0 > 0 \} \cap \mathcal{D}_0.$$

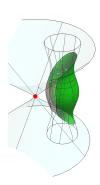
- (Classical Carleman methods:  $\mathcal{Y} \approx \mathcal{U}_b \cap \{\mathcal{N}f_0 > 0\}$ .)
- Extra restriction of  $\mathcal{Y}$  to  $\mathcal{D}_0$ .

### Some Pictures









- Left:  $\mathcal{U}_b$ .
- Red dot:  $(t_0, x_0)$ .

- Purple:  $\mathcal{U}_b \cap \mathcal{D}_0$ .
- $\bullet \ \, \text{Green:} \,\, \mathcal{Y}:=\mathcal{U}_b\cap \{\mathcal{N}\textit{f}_0>0\}\cap \mathcal{D}_0.$

### A Pseudoconvexity Issue

#### Main requirement for Carleman estimates is pseudoconvexity.

• Level sets of  $f_0$  (barely) fail to be pseudoconvex.

Thus, can only obtain a degenerate Carleman estimate:

$$\|e^{\lambda F}\psi\|_{L^2(\mathcal{U}\cap\mathcal{D}_0)}^2\lesssim \lambda^{-2}\|e^{\lambda F}\Box\psi\|_{L^2(\mathcal{U}\cap\mathcal{D}_0)}^2+\int_{\mathcal{U}_b\cap\mathcal{D}_0}e^{2\lambda F}(\mathcal{N}\mathit{f}_0)|\mathcal{N}\psi|^2.$$

- No  $H^1$ -control for  $\psi \Rightarrow$  no observability.
- Thus, cannot build weight  $e^{\lambda F}$  from  $f_0$ .

**Idea.** Perturb  $f_0 \rightarrow f_{\varepsilon}$  such that...

• ... level sets of  $f_{\varepsilon}$  are pseudoconvex.

4 D > 4 B > 4 B > 4 B > 9 Q P

### The Classical Approach

#### Classical Carleman observability results:

$$f_{\varepsilon} := \frac{1}{4}[|x-x_0|^2 - (1-\varepsilon)(t-t_0)^2].$$

Hyperboloids for waves with slower speed.

#### **Drawback.** Not well-adapted to characteristics of wave equation.

- $f_{\varepsilon} = 0$  no longer the null cone about  $(t_0, x_0)$ .
- Cannot obtain Carleman estimates supported on  $\mathcal{D}_0$ .

#### **Drawback.** Only works for $(t_0, x_0) \notin \mathcal{U}$ .

• (Arises from dealing with the region  $\{f_0 < 0\}$ .)

### A Conformal Viewpoint

#### **New idea.** Perturb geometry rather than $f_0$ :

Consider "warped" Minkowski metric:

$$g_\epsilon := -dt^2 + dr^2 + (r + 2\epsilon f_0)^2\mathring{\gamma}, \qquad (t_0, x_0) = 0, \quad \epsilon > 0.$$

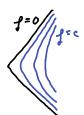
• Level sets of  $f_0$  pseudoconvex w.r.t.  $g_{\varepsilon}$ -geometry.

**Observation.**  $(\mathcal{D}_0, g)$ ,  $(\mathcal{D}_0, g_{\varepsilon})$  conformally related.

- Pseudoconvexity is conformally invariant.
- $f_{\varepsilon} := \text{pullback of } f_0 \text{ through conformal isometry.}$

**Strategy.** 2 steps for proof of Carleman estimate:

- **1** Prove "warped" Carleman estimate on  $(\mathcal{D}_0, g_{\varepsilon})$ .
- 2 Pull "warped" Carleman estimate back to  $(\mathcal{D}_0, g)$ .





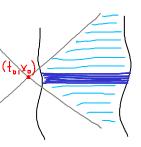
### Carleman to Observability

**Idea.** Carleman + energy estimate  $\Rightarrow$  observability.

- But, Carleman weight  $e^{\lambda F}$  vanishes on boundary of  $\mathcal{D}_0$ .
- Cannot capture energy where  $e^{\lambda F}$  vanishes.

#### Exterior observability. $(t_0, x_0) \notin \mathcal{U}$ .

- Cross-sections of  $\mathcal{U}$  near  $t=t_0$  satisfy  $e^{\lambda F}\gtrsim 1$ .
- $\Rightarrow$  Carleman controls energy near  $t = t_0$ .



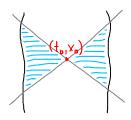
### Interior Observability

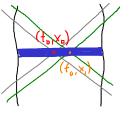
#### Interior observability. $(t_0, x_0) \in \mathcal{U}$ .

- $e^{\lambda F}$  vanishes at every time in  $\mathcal{U}$ .
- Carleman does not control energy at any time.

### Idea. Use two Carleman estimates:

- Centred at nearby points  $(t_0, x_0)$ ,  $(t_0, x_1)$ .
- Sum of estimates controls energy near  $t = t_0$ .





### The Main Observability Estimate

### Theorem (S., 2019)

Consider general  $\mathcal L$  on moving domain  $\mathcal U$ . Assume

$$T_+ - T_- > R_+ + R_-$$
,  $R_\pm := \sup_{x \in \mathcal{U}_b \cap \{t = T_\pm\}} |x - x_0|$ .

Then, we have observability (for adjoint problem):

$$\|(\psi, \mathfrak{d}_t \psi)(T_{\pm})\|_{H^1 \times L^2}^2 \lesssim \int_{\mathcal{Y}} |\mathcal{N}\psi|^2.$$

Y: any open subset of boundary with

$$\mathcal{Y}\supseteq\overline{\mathcal{U}_b\cap\{\mathcal{N}\mathit{f}_0>0\}\cap\mathcal{D}_0}.$$

•  $f_0$  and  $t_0$  as before  $(t_0 - T_- > R_- \text{ and } T_+ - t_0 > R_+)$ .

#### **Remark.** Any such $\mathcal{Y}$ suffices:

• Perturbation  $f_0 \to f_{\varepsilon}$  can be arbitrarily small.

### Conclusions

- 1. Controllability for waves on time-dependent domains.
  - First general result.
  - Applies to general  $\mathcal{U}$  and (non-analytic)  $\mathcal{L}$ .
- 2. Best known results using Carleman methods.
  - Smaller control region (restriction to null cone exterior).
  - Leads to improved results for time-independent domains.
- **3.** Proof uses geometric ideas and intuitions.
  - Extends to geometric wave equations.

### Hyperbolic PDEs

What about other (2nd order) hyperbolic PDE?

$$-\partial_t^2 + \Delta_x \qquad \Rightarrow \qquad -\partial_t^2 + \sum_{1 \le i, j \le n} a^{ij} \, \partial_i \partial_j.$$

Or, what about geometric wave equations on manifolds?

Classical methods already extend to time-independent settings:

$$\mathcal{U} := \mathbb{R} \times \Omega, \quad a^{ij} = a^{ij}(x).$$

Many results using multiplier, Carleman, and microlocal methods.

### Outlook and Upcoming Work

#### Question

What about time-dependent settings:  $a^{ij} = a^{ij}(t, x)$ ?

- (In other words, geometric waves on Lorentzian manifolds.)
- Open question, not explored in existing literature.

**Idea.** Geometric tools can be used to treat this problem.

- Use methods described for previous theorem...
- ...but on Lorentzian geometry defined by the PDE.
- (Joint work in progress with Vaibhav Jena.)